

FORM PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER PAT-01096 U.S. APPLICATION NO. (IF KNOWN SEE 37 RR 15) 10754228
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
PCT/EP2004/000541	(23.01.2004) 23. January 2004	(05.02.2003) 05. February 2003	
TITLE OF INVENTION: POLYESTERS COMPRISING GROUPS THAT CAN BE ACTIVATED BY ACTINIC RADIATION, CORRESPONDING METHOD AND USE			
APPLICATION(S) FOR DO/EO/US: Heinz-Peter RINK, Susanne DEUTRICH, Uwe MEISENBURG, Karl-Heinz JOOST, Dietmar HÄRING, and Bernhard HAUER			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include Items (5), (6), (9) and (21) indicated below. <input checked="" type="checkbox"/> The US has been elected (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Is attached hereto. b. <input type="checkbox"/> Has been previously submitted under 35 U.S.C 154(d)(4). <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.37 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C 371(c)(5)). 			
Items 11. to 20. below concern document(s) or information included:			
<ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A preliminary amendment. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document 			
<p>I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ED 915 877 814 US addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on July 15, 2005.</p> <p><i>[Signature]</i></p> <p>Marjorie Ellis</p>			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) 107542228		INTERNATIONAL APPLICATION NO. PCT/EP2004/000541	ATTORNEY'S DOCKET NUMBER PAT-01096
<input checked="" type="checkbox"/> The following fees are submitted:			<u>CALCULATIONS</u> PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic National Stage Fee (1631/2631).....			\$300.00
22. <input checked="" type="checkbox"/> Examination Fee (1633/2633) All other situations.....			\$200.00
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.445(a)(2) has been paid on the international application to the USPTO as an All other situations.....			\$500.00
TOTAL OF 21, 22, AND 23 =			\$1,000.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate
- 100=	/50=		x\$250
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).			\$130.00
Claims	Number Filed	Number Extra	Rate
Total Claims	20- 20 =		X \$ 50.00
Independent claims	01 - 03 =		X \$ 200.00
Multiple dependent claims(s) (if applicable)			+ \$360.00
TOTAL OF ABOVE CALCULATIONS =			\$1,130.00
Processing fee of \$130.00 for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).			\$
TOTAL NATIONAL FEE =			\$1,130.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			
TOTAL FEES ENCLOSED =			\$1,130.00
			Amount to be refunded: \$
			Charged \$1,130.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.			
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No <u>23-3425</u> in the amount of <u>\$1,130.00</u> to cover the above fees A triplicate copy of this sheet is enclosed.			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-3425</u> . A duplicate copy of this sheet is enclosed.			
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: BASF CORPORATION Patent Department 26701 Telegraph Road Southfield, Michigan 48034-2442 (248) 948-2355 Customer No. 26922			
<u>Anne G. Sabourin</u> <small>SIGNATURE</small> Anne Gerry Sabourin Name 33,772 <small>REGISTRATION NUMBER</small>			

10/542228

PATENT

JC20 Rec'd PCT/PTO 1 5 JUL 2005
(Docket No. PAT-01096)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Heinze-Peter Rink
Susanne Deutrich
Uwe Meisenburg
Karl-Heinz Joost
Dietman Häring
Bernard Hauer

Serial No.: Unknown

Filed: herewith

For: POLYESTERS COMPRISING
GROUPS THAT CAN BE
ACTIVATED BY ACTINIC
RADIATION, CORRESPONDING
METHOD AND USE

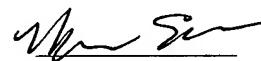
Group Art Unit: Unknown

Examiner: Unknown

I hereby certify that the attached correspondence is
being deposited with the United States Postal Service
in an envelope as "Express Mail Post Office to
Addressee" Mailing Label No. ED 915 877 814 US,
addressed to Mail Stop PCT, Commissioner for
Patents, P.O. BOX 1450, Alexandria, Virginia 22313-
1450, on the date shown below.

July 15, 2005

Date



Marjorie Ellis

Mail Stop PCT
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

English Application
Specification on

21	pages;
01	page(s);

 claims on
the abstract on

05	pages;
	page(s).

 drawings on